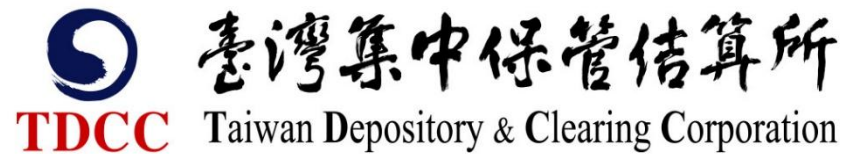


# Issuer Services Journey

Yelena Hsieh

June, 2023



# 4e's Services

- **e-Voting** (2009) : Average e-voting rate **60%**; Foreign investors up to **98%**
- **ESG services** (2019) : ESG ratings dashboard, ESG+Proxy reports, ESG screening tools
- **e-Meeting** (2021)
- **e-Notice** (2023)



# ESG Services – ESG IR Platform

## Our Goal



## Why Investors Use

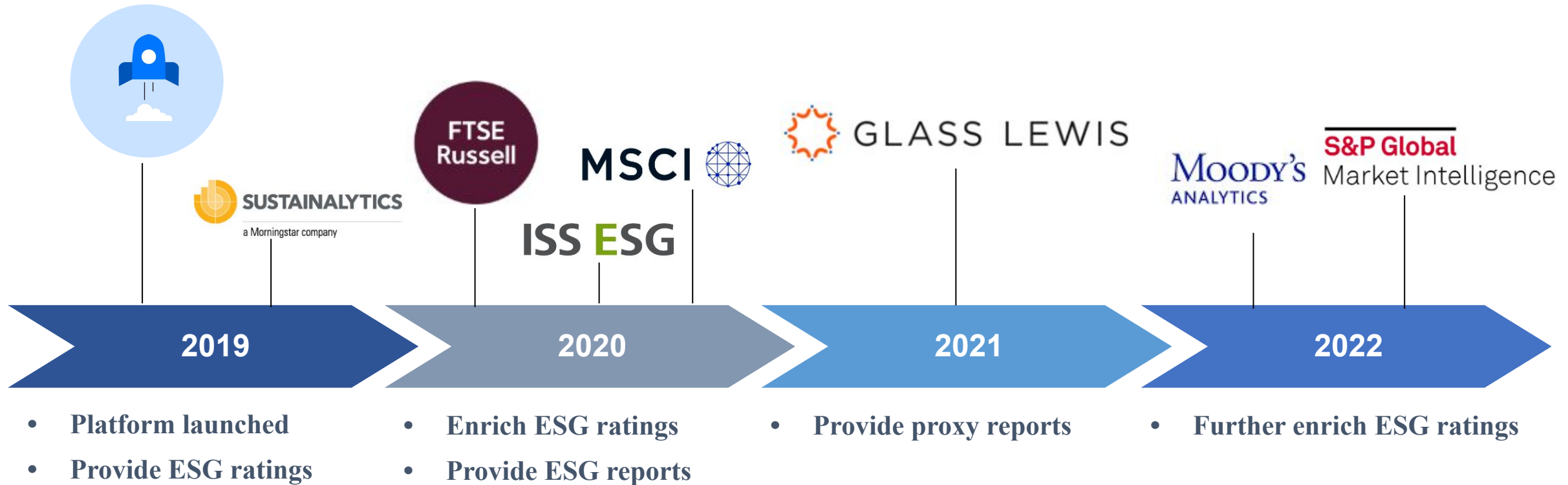


## Why Issuers Use



Understanding the investors' points of view

# ESG Services – Our Partners



# ESG Services Introduction

## What We Provide

ESG Ratings

Screening Tools

ESG Reports

Proxy Reports

CG Trends

Issuers



advanced



Individual  
Investors



Institutional  
Investors



advanced





# ESG Services – ESG Rating Dashboard

search by ticker/  
company/industry


check for the definition  
of each rating/score















## ESG Ratings

Search by ticker or company  

Company-Sectors 

Select All  
  Information Technology  
  Communication Services  
  Consumer Staples  
  Materials  
  Industrials  
 Consumer Discretionary  
  Energy  
  Financials  
  Health Care  
  Real Estate  
  Utilities

Search result : 1787 companies / 1787 companies  Hide/Show Unrated Companies Last updated date:2023.5

Ticker/Company 	Sustainalytics ESG Risk Ratings  (100-0, 0 is the best) 	MSCI ESG Ratings  (AAA-CCC, AAA is the best) 	FTSE Russell ESG Ratings  (0-5, 5 is the best) 	ISS ESG Ratings  (A-D, A is the best) 	S&P Global ESG Scores  (0-100, 100 is the best) 	Moody's ESG Scores  (0-100, 100 is the best) 
110 CC	25.13	A 	3.3	D+	80	41
110 CC	26.52	B	3.5	D+	70	37
110 HC	34.55	-	2	-	47	-
110 CC	31.33	-	-	-	18	-

# ESG Services – ESG Rating Dashboard

6 ratings from international agencies

CG evaluation results from SFI in Taiwan

ESG Ratings Last updated date:2022.7

- > Sustainalytics ESG Risk Ratings
- > MSCI ESG Ratings
- > FTSE Russell ESG Ratings
- > ISS ESG Ratings
- > S&P Global ESG Scores
- > **Moody's ESG Scores**
- > Taiwan Corporate Governance Evaluation
- > Company ESG Disclosure

## > Moody's ESG Scores

ESG Score<sup>?</sup>

41 /100

Dimension Scores<sup>?</sup>

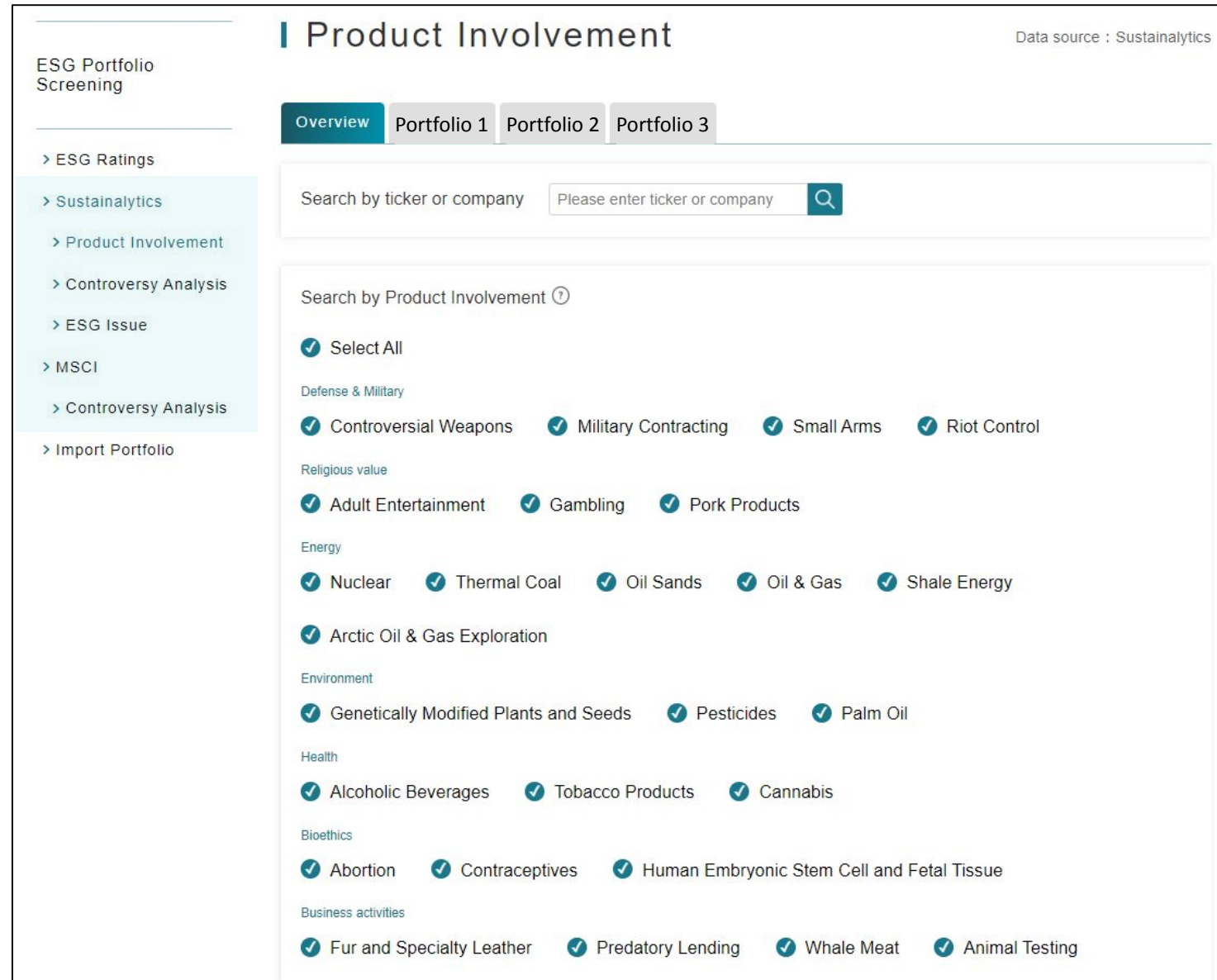
Environmental	43	/100
Social	39	/100
Governance	39	/100

advanced version

# ESG Services – ESG Screening Tools

3 screening dimensions  
for institutional  
investors and issuers

helpful for investment  
portfolio examinations



The screenshot displays the 'Product Involvement' section of an ESG screening tool. The interface includes a sidebar on the left with navigation options: 'ESG Portfolio Screening', 'ESG Ratings', 'Sustainalytics', 'MSCI', and 'Import Portfolio'. Under 'Sustainalytics', 'Product Involvement' is selected. The main content area is titled 'Product Involvement' and includes a 'Data source : Sustainalytics' label. It features a tabbed interface with 'Overview', 'Portfolio 1', 'Portfolio 2', and 'Portfolio 3'. A search bar is present with the placeholder text 'Please enter ticker or company'. Below this, there is a section for 'Search by Product Involvement' with a help icon. The main area lists various categories with checkboxes, all of which are checked:

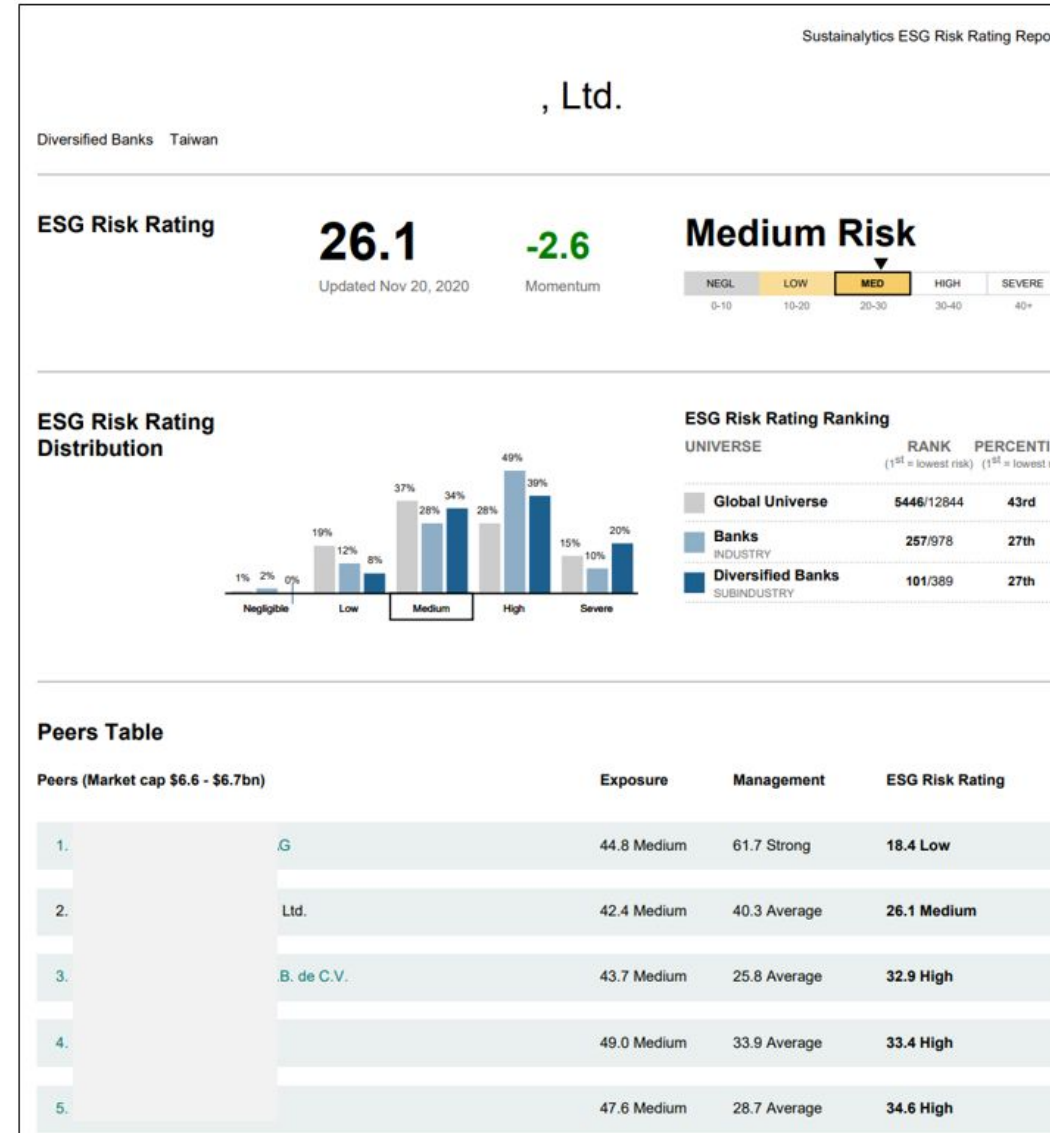
- Select All** (checked)
- Defense & Military**
  - Controversial Weapons (checked)
  - Military Contracting (checked)
  - Small Arms (checked)
  - Riot Control (checked)
- Religious value**
  - Adult Entertainment (checked)
  - Gambling (checked)
  - Pork Products (checked)
- Energy**
  - Nuclear (checked)
  - Thermal Coal (checked)
  - Oil Sands (checked)
  - Oil & Gas (checked)
  - Shale Energy (checked)
  - Arctic Oil & Gas Exploration (checked)
- Environment**
  - Genetically Modified Plants and Seeds (checked)
  - Pesticides (checked)
  - Palm Oil (checked)
- Health**
  - Alcoholic Beverages (checked)
  - Tobacco Products (checked)
  - Cannabis (checked)
- Bioethics**
  - Abortion (checked)
  - Contraceptives (checked)
  - Human Embryonic Stem Cell and Fetal Tissue (checked)
- Business activities**
  - Fur and Specialty Leather (checked)
  - Predatory Lending (checked)
  - Whale Meat (checked)
  - Animal Testing (checked)



# ESG Services – ESG Rating Reports

Sustainalytics ESG rating reports for issuers

ESG Risk Rating measures the level of unmanaged risk of a company




\*Each public company has access to its own self-related report only

# ESG Services–Proxy Paper Research Reports

Glass Lewis Proxy Paper Research Reports for issuers

voting recommendations for all the proposals in AGM

\*Each public company has access to its own self-related report only

**PROXY PAPER** 

**LIMITED** **GLASS LEWIS**

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Taiwan Stock Exchange:  
ISIN:

<b>MEETING DATE:</b> 19 JUNE 2020	<b>INDEX MEMBERSHIP:</b> TAIWAN TAIEX
<b>RECORD DATE:</b> 20 APRIL 2020	<b>SECTOR:</b> FINANCIALS
<b>PUBLISH DATE:</b> 29 MAY 2020	<b>INDUSTRY:</b> BANKS
<b>COMPANY DESCRIPTION</b>	<b>COUNTRY OF TRADE:</b> TAIWAN
	<b>COUNTRY OF INCORPORATION:</b> TAIWAN
	<b>VOTING IMPEDIMENT:</b> NONE

COMPANY UPDATES	OWNERSHIP	COMPANY PROFILE	ESG PROFILE	PREVIOUS BOARD	VOTE RESULTS
APPENDIX	COMPANY FEEDBACK	PROPOSER FEEDBACK			

**2020 ANNUAL MEETING**

PROPOSAL	ISSUE	BOARD	GLASS LEWIS	CONCERNS
1.00	Account	FOR	FOR	
2.00	Allocatic	FOR	FOR	
3.00	Capitaliz	FOR	FOR	
4.00	Amendn	FOR	FOR	
5.00	Election	SPLIT	ABSTAIN	• Pending litigation outcome; Performance reasonable under MOF control
5.01	Elect	FOR	FOR	
5.02	Elect	FOR	FOR	
5.03	Elect	FOR	AGAINST	• Favor maintenance of proportional representation

# Our Services – What's Next

## What We Have Achieved in ESG Services

- Distributed ESG rating reports to **400** companies and proxy reports to **800** companies
- Fitch Ratings (2021) ESG Emerges as an Investment Theme in Taiwan (...managers identified external ESG ratings and data as the primary source of ESG information, such as the information provided by TDCC.)

## What's Next

- Keep developing more solutions for corporate governance enhancement





**TDCC**

**Innovation · Resilience · Sustainability · Inclusive Financing**